

Identification Documentation Required

To enable the Responsible Entity to verify your identity, the following documentation must be provided to your Financial Planner when lodging your Application Form. If you are forwarding your Application Form direct to Trust, please include certified copies* of the documents with your Application.

Type of Investor	Documents required for different types of Investor
Individuals	<ul style="list-style-type: none"> > A current Australian driver's licence containing your photograph; or > A current Australian passport or an Australian passport that has expired within the previous 2 years; or > A current Card issued by an Australian state or territory for the purpose of proving a person's age containing a photograph you; or > A current foreign passport or similar document issued for international travel containing your photograph and signature; or > Any one of <ul style="list-style-type: none"> - An Australian birth certificate; - An Australian citizenship certificate - A Pension card or Health Care card issued by Centrelink; and > An original notices that contains your name and residential address issued by one of the following: <ul style="list-style-type: none"> - A government authority that records the provision of financial benefits within the preceding 12 months; - The Australian Taxation Office; - A local government body or utilities provider that records provision of services to the person's residential address within the last 3 months; or > If you are under 18 years of age, a notice issued by a school principal within the preceding 3 months that contains your name and residential address and records the length of time you have attended the school.
Companies	<p>For the company:</p> <ul style="list-style-type: none"> > The certificate of registration of the company issued by ASIC (if an Australian company) or the relevant foreign registration body if an overseas company.
Trusts	<p>For the trust:</p> <ul style="list-style-type: none"> > A copy of the trust deed; or > A notice of assessment issued by the Taxation Office within the last 12 months <p>For one of the trustees:</p> <ul style="list-style-type: none"> > The same documents as for an individual (if the trustee is an individual) or the same documents as for a company (if the trustee is a company)
Partnerships	<p>For the partnership:</p> <ul style="list-style-type: none"> > A copy of the partnership agreement <p>For one of the partners:</p> <ul style="list-style-type: none"> > The same documents as for an individual (if the partner is an individual) or the same documents as for a company (if the partner is a company)
Associations and Registered Co-operatives	<p>For the association or registered co-operative:</p> <ul style="list-style-type: none"> > A copy of the constitution or rules <p>For either the chairman, secretary or treasurer:</p> <ul style="list-style-type: none"> > The same documents as for an individual
Each additional person authorised to operate on the account	<p>The same documents as for an individual</p>

Identification Documentation Required (cont)

*Certified copies means a copy of the document certified as a true copy by one of the following:

1. a person who is enrolled on the roll of the Supreme Court of a State or Territory, or High Court of Australia, as a legal practitioner (however described);
2. a judge of a court;
3. a magistrate;
4. a chief executive officer of a Commonwealth court;
5. a registrar or deputy registrar of a court;
6. a Justice of Peace;
7. a notary public (for the purposes of the Statutory Declaration Regulations 1993);
8. a police officer;
9. an agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public;
10. a permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public;
11. an Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955);
12. an officer with 2 or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declarations Regulations 1993);
13. a finance company officer with 2 or more continuous years of service with one or more financial companies (for the purposes of the Statutory Declarations Regulations 1993);
14. an officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more continuous years of service with one or more licensees; and
15. a member of the institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years of continuous membership.

Identification Form Individuals & Sole Traders

Guide to completing this form

- > Complete one form for each individual.
- > Complete all applicable sections of this form in BLOCK LETTERS.
- > This form may be used when agreed between your licensee and the issuer. In all other circumstances you may provide a copy of the identification document with the relevant application form.
- > Contact your licensee if you have any queries.

Section 1: Personal details

Surname	Date of birth <small>dd/mm/yyyy</small>		
Full given name(s)			
Residential address <small>(PO Box is not acceptable)</small>			
Street			
Suburb	State	Postcode	Country

Complete this part if individual is a sole trader

Full business name (if any)	ABN (if any)		
Principal place of business (if any) <small>(PO Box is not acceptable)</small>			
Street			
Suburb	State	Postcode	Country

Section 2: Identification procedure

- > Verify the individual's full name; and EITHER their date of birth or residential address.
- > Complete EITHER Part A or Part B. (Note: Part B should only be completed if the individual does not own a document from Part A.)
- > Contact your licensee if the individual is unable to provide the required documents.

PART A – ACCEPTABLE PRIMARY ID DOCUMENTS

Tick ✓ Select ONE valid option from this section only

- Australian State / Territory driver's licence containing a photograph of the person
- Australian passport (a passport that has expired within the preceding 2 years is acceptable)
- Card issued under a State or Territory for the purpose of proving a person's age containing a photograph of the person
- Foreign passport or similar travel document containing a photograph and the signature of the person *

PART B – ACCEPTABLE SECONDARY ID DOCUMENTS

Tick ✓ Select ONE valid option from this section

- Australian birth certificate
- Australian citizenship certificate
- Pension card issued by Centrelink
- Health card issued by Centrelink
- National identity card issued by a foreign government containing a photograph of the person in whose name the card was issued *

Identification Form Individuals & Sole Traders (cont)

Tick ✓ **AND ONE valid option from this section**

- A document issued by the Commonwealth or a State or Territory within the preceding 12 months that records the provision of financial benefits to the individual and which contains the individuals name and residential address
- A document issued by the Australian Taxation Office within the preceding 12 months that records a debt payable by the individual to the Commonwealth (or by the Commonwealth to the individual), which contains the individuals name and residential address
- A document issued by a local government body or utilities provider within the preceding 3 months which records the provision of services to that address or to that person (the document must contain the individuals name and residential address)
- Foreign driver's licence that contains a photograph of the person in whose name it issued and the individuals date of birth *
- If under the age of 18, a notice that:
 - > was issued to the customer by a school principal within the preceding 3 months; and
 - > contains the customers name and residential address; and
 - > records the period of time that the customer attended at that school

* Documents that are written in a language that is not English, must be accompanied by an English translation prepared by an accredited translator.

Section 3: Record of identification procedure

ID record

Verified from
Copy of ID document

Document 1

Original
 Attached

(Go to Section 4)

Certified copy

Not attached

(Complete ID document details below)

Document 2

Original

Attached

(Go to Section 4)

Certified copy

Not attached

(Complete ID document details below)

ID document details

(Complete only where agreed between your licensee and the issuer)

Document 1

Document issuer

Issued date

Expiry date

Document number

Accredited English translation

N/A

Sighted

Document 2

N/A

Sighted

Section 4: Financial planner details – identification and verification conducted by:

Date verified *(dd/mm/yyyy)*

Financial planner's name

Phone No.

AFS licensee name

AFSL No.

Identification Form Trusts & Trustees

Guide to completing this form

> Complete the following in BLOCK LETTERS:

Section 1 (all parts) – all trusts.

AND select and complete one of the following sections for ONLY ONE of the trustees:

Section 2 (applicable parts) – selected trustee is an Individual.

Section 3 (applicable parts) – selected trustee is an Australian Company.

Section 4 (applicable parts) – selected trustee is a Foreign Company.

> Only send the completed sections of this form with the application form.

> Contact your licensee if you have any queries.

Section 1A: Trust details

1.1 General Information

Full name of trust

Full business name (if any)

Country where trust established

1.2 Type of Trust (select only one of the following trust types and provide the information requested)

Registered managed investment scheme

Provide Australian Registered Scheme Number (ARSN)

(Go to Section 1B)

Regulated trust (e.g. an SMSF)

Provide name of the regulator (e.g. ASIC, APRA, ATO)

Provide the trust's ABN or registration / licensing details

(Go to Section 1B)

Government superannuation fund

Provide name of the legislation establishing the fund

(Go to Section 1B)

Other trust type

Trust description (e.g. Family, unit, charitable, estate)

(Complete Section 1.3 and 1.4)

1.3 Beneficiary Details *(only complete if "Other trust type" is selected in section 1.2 above)*

Do NOT complete if the trust is a registered managed investment scheme, regulated trust (eg SMSF) or government superannuation fund.

Do the terms of the trust identify the beneficiaries by reference to membership of a class?

Yes – Provide details of the membership class/es (e.g. unit holders, family members of named person, charitable purpose)

(Go to Section 1.4)

Identification Form Trusts & Trustees (cont)

No – How many beneficiaries are there? _____ provide full name of each beneficiary below

Full given name(s)	Surname
1 _____	_____
2 _____	_____
3 _____	_____
4 _____	_____
5 _____	_____
6 _____	_____

If there are more beneficiaries, provide details on a separate sheet

1.4 Trustee Details (only complete if "Other trust type" is selected in section 1.2 above)

Do NOT complete if the trust is a registered managed investment scheme, regulated trust (e.g. SMSF) or government superannuation fund.

How many trustees are there? _____ provide full name & address of each trustee below

Trustee 1

Full given name(s) or Company name _____ Surname _____

Residential address if an individual trustee or company registered office address (PO Box is NOT acceptable)

Street _____

Suburb _____ State _____ Postcode _____ Country _____

Trustee 2

Full given name(s) or Company name _____ Surname _____

Residential address if an individual trustee or company registered office address (PO Box is NOT acceptable)

Street _____

Suburb _____ State _____ Postcode _____ Country _____

Trustee 3

Full given name(s) or Company name _____ Surname _____

Residential address if an individual trustee or company registered office address (PO Box is NOT acceptable)

Street _____

Suburb _____ State _____ Postcode _____ Country _____

Trustee 4

Full given name(s) or Company name _____ Surname _____

Residential address if an individual trustee or company registered office address (PO Box is NOT acceptable)

Street _____

Suburb _____ State _____ Postcode _____ Country _____

Identification Form Trusts & Trustees (cont)

Trustee 5

Full given name(s) or Company name _____ Surname _____

Residential address if an individual trustee or company registered office address *(PO Box is NOT acceptable)*

Street _____

Suburb _____ State _____ Postcode _____ Country _____

Trustee 6

Full given name(s) or Company name _____ Surname _____

Residential address if an individual trustee or company registered office address *(PO Box is NOT acceptable)*

Street _____

Suburb _____ State _____ Postcode _____ Country _____

If there are more trustees, provide details on a separate sheet

(Go to Section 1B)

Section 1B: Trust verification procedure

For a registered managed investment scheme, regulated trust (eg SMSF) or government superannuation fund (as selected in 1.2), verify:

- > The full name of the trust
- > That the trust is a registered managed investment scheme, regulated trust or government superannuation fund, as applicable.

Tick Verification options (select one of the following options used to verify the Trust)

- Perform a search of the ASIC, ATO or relevant regulator's website (e.g. "Super Fund Lookup" at www.abn.business.gov.au).
- A copy or relevant extract of the legislation establishing the government superannuation fund sourced from a government website.

If "Other trust type" is selected in section 1.2 above, verify:

- > The full name of the trust..

Tick Verification options (select one of the following options used to verify the Trust)

- A notice issued by the Australian Taxation Office within the last 12 months (eg a Notice of Assessment).
Block out the TFN before scanning, copying or storing this document.
- A letter from a solicitor or qualified accountant that confirms the name of the trust.
- An original or certified copy or certified extract of the trust deed.

Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator.

Section 1C: Record of verification procedure

IMPORTANT:

- > **Attach** a legible copy of the ID documentation used to verify the Trust (and any required translation).
- > **Alternatively, if agreed** between your licensee and the product issuer, complete the ID Document Details below, and DO NOT attach copies of the ID Documents.

Identification Form Trusts & Trustees (cont)

ID DOCUMENT DETAILS

Verified from Performed search Original Certified Copy

Document Issuer / Website _____

Issue date / Search date _____

Accredited English Translation N/A Sighted

Section 1D: Financial planner details – Identification and verification conducted by:

Date Verified (dd/mm/yyyy) _____

Financial Planner's Name _____

Phone No. _____

AFS Licensee Name _____

AFSL No. _____

Complete **ONLY ONE** of the following sections, as required, to collect the additional information about the identity of **ONLY ONE** of the trustees:

- > Section 2 (applicable parts) – where the selected trustee is an Individual.
- > Section 3 (applicable parts) – where the selected trustee is an Australian Company.
- > Section 4 (applicable parts) – where the selected trustee is a Foreign Company.

Section 2A: Individual details (to be completed if selected trustee is an individual)

Full Given Name(s) _____

Surname _____

Date of Birth (dd/mm/yyyy) _____

Residential Address (PO Box is NOT acceptable) **Only provide address details if not provided in Section 1.4 above.**

Suburb _____

State _____

Postcode _____

Country _____

If the trust is a registered managed investment scheme, regulated trust (eg SMSF) or government superannuation fund, the form is now COMPLETE.

If 'Other trust type' is selected in Section 1.2 above, complete Sections 2B and 2C below.

Section 2B: Individual trustee verification procedure

If "Other trust type" is selected in Section 1.2 above, verify the **Trustee's** full name; and **EITHER** their date of birth **OR** residential address.

- > Complete Part I (or if the trustee does not own a document from Part I, then complete either Part II or III).

PART I – ACCEPTABLE PRIMARY ID DOCUMENTS

Tick ✓ Select ONE valid option from this section only

- Australian State / Territory driver's licence containing a photograph of the person.
- Australian passport (a passport that has expired within the preceding 2 years is acceptable).
- Card issued under a State or Territory for the purpose of proving a person's age containing a photograph of the person.
- Foreign passport or similar travel document containing a photograph and the signature of the person*.

Identification Form Trusts & Trustees (cont)

PART II – ACCEPTABLE SECONDARY ID DOCUMENTS – should only be completed if the individual does not own a document from Part I

Tick ✓ Select ONE valid option from this section

- Australian birth certificate.
- Australian citizenship certificate.
- Pension card issued by Centrelink.
- Health card issued by Centrelink.

Tick ✓ **AND** ONE valid option from this section

- A document issued by the Commonwealth or a State or Territory within the preceding 12 months that records the provision of financial benefits to the individual and which contains the individual's name and residential address.
- A document issued by the Australian Taxation Office within the preceding 12 months that records a debt payable by the individual to the Commonwealth (or by the Commonwealth to the individual), which contains the individual's name and residential address.

Block out the TFN before scanning, copying or storing this document.

- A document issued by a local government body or utilities provider within the preceding 3 months which records the provision of services to that address or to that person (the document must contain the individual's name and residential address).

PART III – ACCEPTABLE FOREIGN ID DOCUMENTS – should only be completed if the individual does not own a document from Part I

Tick ✓ **BOTH** documents from this section must be presented

- Foreign driver's licence that contains a photograph of the person in whose name it issued and the individual's date of birth.*
- National ID card issued by a foreign government containing a photograph and a signature of the person in whose name the card was issued*

*Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator.

Section 2C: Record of verification procedure

IMPORTANT:

- > **Attach** a legible copy of the ID documentation used to verify the individual trustee (and any required translation).
- > **Alternatively, if agreed** between your licensee and the product issuer, complete the ID Document Details below, and DO NOT attach copies of the ID Documents.

ID document details	Document 1	Document 2
Document issuer	_____	_____
Issued date	_____	_____
Expiry date	_____	_____
Document number	_____	_____
Accredited English translation	<input type="checkbox"/> N/A <input type="checkbox"/> Sighted	<input type="checkbox"/> N/A <input type="checkbox"/> Sighted

If the selected trustee is an individual, the form is now COMPLETE.

Identification Form Trusts & Trustees (cont)

Section 3A: Australian company details (to be completed if selected trustee is an Australian company)

3.1 General Information

Full name as registered by ASIC _____

ACN _____

Registered office address (PO Box is NOT acceptable)

Street _____

Suburb _____

State _____

Postcode _____

Country _____

Principal place of business (if any) (PO Box is NOT acceptable)

Street _____

Suburb _____

State _____

Postcode _____

Country _____

3.2 Regulatory/ Listing Details (select ✓ the following categories which apply to the trustee company and provide the information requested)

Regulated company (licensed by an Australian Commonwealth, State or Territory statutory regulator)

Regulator name _____

Licence details _____

Australian listed company

Name of market / exchange _____

Majority-owned subsidiary of an Australian listed company

Australian listed company name _____

Name of market / exchange _____

3.3 Company Type (select ✓ only ONE of the following categories)

Public If the trust is a registered managed investment scheme, regulated trust (eg SMSF) or government superannuation fund, the form is now **COMPLETE**.

If 'Other trust type' is selected in Section 1.2 above, complete Sections 3B and 3C below.

Proprietary Continue to Section 3.4

3.4 Directors (only needs to be completed for proprietary companies)

This section does NOT need to be completed for public and listed companies.

How many directors are there? _____ provide full name of each director below

Full given name(s)

Surname

1 _____

2 _____

3 _____

4 _____

If there are more directors, provide details on a separate sheet.

Identification Form Trusts & Trustees (cont)

If the company is a regulated company (as selected in Section 3.2 above) AND the trust type selected in Section 1.2 above is:

> a registered managed investment scheme, regulated trust (eg SMSF) or government superannuation fund, the form is now **COMPLETE**.

> 'Other Trust Type', complete Sections 3B and 3C below.

Otherwise, continue to Section 3.5 below.

3.5 Shareholders (only needs to be completed for proprietary companies that are not regulated companies as selected in Section 3.2)

Provide details of **ALL individuals** who are beneficial owners through one or more shareholdings of more than 25% of the company's issued capital

Shareholder 1

Full given name(s) _____ Surname _____

Residential address (PO Box is NOT acceptable)

Street _____

Suburb _____ State _____ Postcode _____ Country _____

Shareholder 2

Full given name(s) _____ Surname _____

Residential address (PO Box is NOT acceptable)

Street _____

Suburb _____ State _____ Postcode _____ Country _____

Shareholder 3

Full given name(s) _____ Surname _____

Residential address (PO Box is NOT acceptable)

Street _____

Suburb _____ State _____ Postcode _____ Country _____

If the trust type selected in Section 1.2 above, is:

> a registered managed investment scheme, regulated trust (eg SMSF) or government superannuation fund, the form is now **COMPLETE**.

> 'Other Trust Type', complete Sections 3B and 3C below.

Section 3B: Australian company trustee verification procedure

Standard verification procedure

If "Other trust type" is selected in Section 1.2 above, verify:

- > The full name of the trustee company as registered by ASIC
- > Whether the trustee company is registered as a proprietary or a public company
- > The ACN issued to the trustee company.

Tick **Verification options** (select one of the following options used to verify the Company)

Perform a search of the relevant ASIC database.

If the ASIC database is not reasonably available, an original or certified copy of the certification of registration issued by ASIC.

Identification Form Trusts & Trustees (cont)

Alternative verification procedure

For a company which is an Australian listed company, a majority owned subsidiary of an Australian listed company or is a regulated company (ie licensed by an Australian Commonwealth, State or Territory statutory regulator).

If "Other trust type" is selected in Section 1.2 above, verify:

- > That the trustee company is an Australian listed company (if applicable)
- > That the trustee company is a majority owned subsidiary of an Australian listed company (if applicable)
- > That the trustee company is a regulated company (if applicable).

Tick **Verification options** (select one or more of the following options used to verify the Company)

- Perform a search of the relevant market/exchange.
- Perform a search of the relevant ASIC database.
- Perform a search of the licence or other records of the relevant Commonwealth, State or Territory statutory regulator.
- A public document issued by the relevant company.

Section 3C: Record of verification procedure

IMPORTANT:

- > **Attach** a legible copy of the ID documentation used to verify the trustee company.
- > **Alternatively, if agreed** between your licensee and the product issuer, complete the ID Document Details below, and DO NOT attach copies of the ID Documents.

ID document details	Document 1	Document 2
Verified from	<input type="checkbox"/> Performed search <input type="checkbox"/> Original <input type="checkbox"/> Certified Copy	<input type="checkbox"/> Performed search <input type="checkbox"/> Original <input type="checkbox"/> Certified Copy
Document issue / Websiter	_____	_____
Public Document Type	_____	_____
Issue date / Search date	_____	_____

If the selected trustee is an Australian company, the form is now COMPLETE.

Section 4A: Foreign company details (to be completed if selected trustee is a foreign company)

4.1 General Information

Full name of foreign company _____

Country of formation / incorporation / registration _____

- Select if registered by a foreign body and provide name of body

Identification Form Trusts & Trustees (cont)

4.2 Is the foreign company registered with ASIC? (select ONE of the following)

Yes Provide ARBN

Provide **EITHER** principal place of business address in Australia OR local agent name and address details
(Tick one box)

Address (PO Box is NOT acceptable)

Street

Suburb

State

Postcode

Country

Name of local agent in Australia

No Provide company identification number (if any) issued by the foreign registration body

Principal place of business in the company's country of formation or incorporation (PO Box is NOT acceptable)

Street

Suburb

State

Postcode

Country

4.3 Registered Address of Company

Provide the registered address as registered with ASIC. If the company is NOT registered with ASIC, provide the registered address in the country of formation, incorporation or registration (if any).

Street

Suburb

State

Postcode

Country

4.4 Regulatory/ Listing Details (select each of the following categories that apply to the trustee company & provide the information requested)

Regulated company (licensed by an Australian Commonwealth, State or Territory statutory regulator)

Regulator name

Licence details

Listed as defined in the IFSA/FPA Guidelines

Name of market / exchange

Majority-owned subsidiary of an Australian listed company

Australian listed company name

Name of market / exchange

4.5 Company Type (select only ONE of the following categories and provide any information requested)

Public If the trust is a registered managed investment scheme, regulated trust (eg SMSF) or government superannuation fund, as selected in Section 1.2 above, the form is now **COMPLETE**.

If 'Other trust type' is selected in Section 1.2 above, complete Sections 4B and 4C below.

Private/Proprietary Go to Section 4.6 below.

Other Go to Section 4.6 below.

Identification Form Trusts & Trustees (cont)

4.6 Directors (complete for all companies other than public or listed companies)

How many directors are there? provide full name of each director below

Full given name(s)	Surname
1 _____	_____
2 _____	_____
3 _____	_____
4 _____	_____

If there are more directors, provide details on a separate sheet.

If the company is a regulated company (as selected in Section 4.4 above) AND 'Other Trust Type' is:

- > selected in Section 1.2 above, go to Section 4B.
- > not selected in Section 1.2 above, the form is now COMPLETE.

Otherwise, continue to Section 4.7 below.

4.7 Shareholders (complete for all companies other than public, listed or regulated companies)

Provide details of ALL individuals who are beneficial owners through one or more shareholdings of more than 25% of the company's issued capital

Shareholder 1

Full given name(s)	_____	Surname	_____
Residential address (PO Box is NOT acceptable)			
Street _____			
Suburb	State	Postcode	Country
_____	_____	_____	_____

Shareholder 2

Full given name(s)	_____	Surname	_____
Residential address (PO Box is NOT acceptable)			
Street _____			
Suburb	State	Postcode	Country
_____	_____	_____	_____

Shareholder 3

Full given name(s)	_____	Surname	_____
Residential address (PO Box is NOT acceptable)			
Street _____			
Suburb	State	Postcode	Country
_____	_____	_____	_____

If 'Other Trust Type' is:

- > selected in Section 1.2 above, go to Section 4B.
- > not selected in Section 1.2 above, the form is now COMPLETE.

Identification Form Trusts & Trustees (cont)

Section 4B: Foreign company trustee verification procedure

Standard verification procedure – for Foreign Companies registered with ASIC

If “Other trust type” is selected in Section 1.2 above, verify:

- > The full name of the trustee company as registered by ASIC
- > The ARBN issued to the trustee company
- > Whether it is registered by a foreign registration body and if so
 - whether it is registered as a private company or a public company.

Tick **Verification options** (select one or more of the following options used to verify the Company)

- Perform a search of the relevant ASIC database.
- Perform a search of the relevant foreign registration body.
- If the ASIC or foreign registration body database is not reasonably available, an original or certified copy of the certification of registration issued by ASIC or by the relevant foreign registration body.

Standard verification procedure – for Foreign Companies NOT registered with ASIC

If “Other trust type” is selected in Section 1.2 above, verify:

- > The full name of the trustee company
- > Whether it is registered by a foreign registration body and if so
 - whether it is registered as a private or a public company
 - the identification number issued to the trustee company.

Tick **Verification options** (select one of the following options used to verify the Company)

- Perform a search of the relevant foreign registration body.
- If the foreign registration body database is not reasonably available, an original or certified copy of the certification of registration issued by the relevant foreign registration body.
- Where the above means are unavailable, a disclosure certificate from the trustee company given by an individual acting as agent of the trustee company (where the agent has been verified). See your licensee for other disclosure certificate requirements.

Alternative verification procedure

For a company which is a listed company, a majority owned subsidiary of an Australian listed company or is a regulated company (ie licensed by an Australian Commonwealth, State or Territory statutory regulator).

If “Other trust type” is selected in Section 1.2 above, verify:

- > That the trustee company is a listed company (if applicable)
- > That the trustee company is a majority owned subsidiary of an Australian listed company (if applicable)
- > That the trustee company is a regulated company (if applicable).

Tick **Verification options** (select one of the following options used to verify the Company)

- Perform a search of the relevant financial market.
- Perform a search of the relevant ASIC database.
- Perform a search of the licence or other records of the relevant Commonwealth, State or Territory statutory regulator.
- A public document issued by the relevant company.

Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator.

Identification Form Trusts & Trustees (cont)

Section 4C: Record of verification procedure

IMPORTANT:

- > **Attach** a legible copy of the ID documentation used to verify the trustee company (and any required translation).
- > **Alternatively, if agreed** between your licensee and the product issuer, complete the ID Document Details below, and DO NOT attach copies of the ID Documents.

ID document details

Document 1

Document 2

Verified from	<input type="checkbox"/> Performed search <input type="checkbox"/> Original	<input type="checkbox"/> Performed search <input type="checkbox"/> Original
	<input type="checkbox"/> Certified Copy	<input type="checkbox"/> Certified Copy
Document issue / Websiter	_____	_____
Public Document Type	_____	_____
Issue date / Search date	_____	_____
Accredited English translation	<input type="checkbox"/> N/A <input type="checkbox"/> Sighted	<input type="checkbox"/> N/A <input type="checkbox"/> Sighted